



Corteva strives to be a responsible steward of our products. As a valued partner of Corteva, we want to make you aware of our Compliance Requirements for 3rd party Logistics Service Partners (LSPs).

Compliance Requirements – all LSPs

1. LSP shall comply with local and state government requirements.
2. LSP shall follow Corteva Distribution Emergency Response Guidelines.
3. LSP shall report any distribution incident according to Corteva Guidelines.
4. LSP shall investigate unplanned safety/security related incidents related to the storage, handling and/or distribution of Corteva products.
5. LSP shall respond to Corteva Complaints (Supplier Corrective Action Requests) in time requested.
6. LSP shall have a clear plan and procedure for managing and disposing of damage material and waste generated when processing or handling Corteva products; Corteva will provide guidance as needed.
7. LSP shall have an applicable Dangerous Goods Employee training program that complies with local regulations, for its workers handling hazardous materials.
8. Corteva Agriscience (Crop Protection Division) is a participant in various Customs Security programs. As a member of these programs, Corteva is required to engage with our business partners to ensure compliance to the security requirements and aid our business partners in developing and maintaining a security program within the guidelines of the customs programs. As such, LSPs may be requested to submit supporting documentation to verify customs program participation, or to complete a questionnaire which will allow Corteva to review parts of your LSP to determine if it meets the Minimum Security Criteria. If any gaps/weaknesses are found, Corteva will work with the LSP to eliminate the gap(s).

Compliance Requirements - Warehouses

1. An Emergency Plan must be in place and include measures to prevent contamination leaving site in the event of an emergency.
2. Warehouse must meet the levels of fire protection prescribed by local Fire Marshall or fire authority.
3. Facility must maintain proper temperature/humidity conditions to ensure product protection as stated in the product Safety Data Sheets.
4. Warehouse must advise and report to Corteva of all spills, damaged material, and/or all unplanned safety and security related incidents that occur during handling or storage, up until the time material is shipped from the warehouse.
5. Facility must have a security plan/procedure in place to prevent unauthorized access to its property and Corteva materials.
6. Warehouse suppliers shall meet regional minimum levels of financial responsibility and Corteva minimum insurance requirements.
7. Warehouses in Europe will be members of SQAS, where that option is available. For warehouses that are not currently members, a one-year grace period to obtain membership is allowed.

Additional Requirements for Chemical Warehouses

1. Containment must be capable of holding one hour of fire water plus the volume of chemicals stored within any segregated area
2. Warehouse is greater than 0.5km from sensitive occupancies, including but not limited to high density residential areas, hospitals, nursing homes, schools and prisons
3. Location is more than 100m from environmentally sensitive areas, including but not limited to water courses such as surface water bodies and streams, and unprotected private or municipal wells
4. Warehouse must comply with local laws and regulations regarding industrial zoning.

Additional Requirements for Seeds Warehouses and Regional Distribution Centers (RDCs)

1. Facility must have a well-documented pest control program and there are no signs of pest issues.
2. Facility must meet Scope of Work requirements (see separate document).

Compliance Requirements - Carriers

1. Carriers are required to follow applicable transport legislation and are responsible for presenting equipment for loading that is in compliance with these legislations.
2. For Bulk truck/Portable Tank (Hazmat Carriers), Responsible Care Partnership is Required, and a Satisfactory Carrier Safety Rating is Required. In the United States, carrier may not have any CSA BASICS over Threshold. In Europe, SQAS Membership is Required for primary carriers and those carriers that the load(s) is subcontracted to, in a period of 2 years after contract is awarded.
3. For All-purpose Carriers(non-Hazmat), a Satisfactory Carrier Safety Rating is Required, as defined by regionally established protocols; in the United States, the carrier may not have any CSA BASICS over Threshold
4. Carriers in the U.S. are required to complete and submit a self-assessment using the ACC Motor Carrier Assessment Protocol.
5. Each carrier shall follow the outlined requirements for subcontracting that are noted in the contract.
6. Toxic Inhalation Hazard (TIH) Carriers are not allowed to subcontract a load.
7. TIH Carriers must follow the Corteva Security Requirements for TIH Materials (please see separate document with these requirements)
8. Any Carrier who transports Highly Toxic Materials will have to follow additional Corteva requirements, such as evaluation per our Distribution Risk Review process.
9. Carrier must have cleaning and waste disposal procedures in place which are compliant with governmental regulations.
10. Carrier must have a current Emergency Response Plan.
11. Carrier must advise Corteva of all spills and /or all unplanned safety and security related incidents that occur from the time of receiving the shipping papers until the load is released at destination noted on shipping papers.
12. Carrier must inform Corteva immediately of any delay which could result in the late delivery of goods to the customer.
13. Drivers are required to follow site-specific requirements such as safety rules and registrations.
 - a. This includes compliance with any site-specific restrictions (i.e., PPE, weapons, smoking, drugs, vehicle equipment)
14. No loading or unloading will occur if the driver is not in fit condition (i.e., under the influence of alcohol, medicine or drugs, or noticeably fatigued) or does not pass site-required assessment.
15. Each carrier shall meet regional minimum levels of financial responsibility and Corteva minimum insurance requirements.

16. Each carrier will have required registration, permits and training that complies with local transportation regulations.
17. Each carrier will ensure appropriate security measures are in place, such as GPS/satellite tracking for units, and background checks for drivers, as required by local regulations.

Compliance Requirements - Terminals

1. Terminal must have a written Environmental Health and Safety policy.
2. Terminal must have a Risk Management and Review process to evaluate risk exposures such as Liability Risks, and Equipment Risks.
3. A Preventive Maintenance program must be documented.
4. A current Emergency Response plan must be documented.
5. Fire Main system must satisfy the requirements of applicable local regulations and standards.
6. Spill containment must be installed for pumps.
7. Terminal must advise Corteva of all spills and /or all unplanned safety and security related incidents that occur from the time of unloading of material at the terminal until departure from facility.
8. It is highly preferred that a terminal is adequately fenced or monitored, with gates locked and/or guarded during off-hours.

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